



09-27-01

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In the United States Patent and Trademark Office

Mailed September 26, 2001

VIA U.S. EXPRESS MAIL

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Re: Non-provisional Utility Patent Application (Initial Filing)

Dear Sir or Madam:

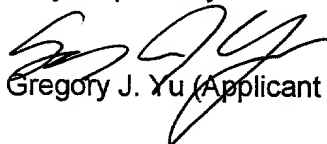
Please find enclosed the following items on behalf of:

- Applicant #1: Mason K. Yu, Sr.
- Applicant #2: Mason K. Yu, Jr.
- Applicant #3: Gregory J. Yu

Title: **System and Method For Categorizing, Aggregating and Analyzing Payment Transactions Data**

1. Payment by: Check in the amount of: **\$489.00**  
\$449.00 as the patent filing fee, per **attached** Form PTO/SB/17 Fee Transmittal  
\$40.00 as the fee for recordation of the Assignment
2. Return Receipt Postcard addressed to Applicant #3.
3. Specification, Claims, and Abstract: Number of Sheets: 62
4. Declaration: Date Signed: September 24, 2001
5. Drawings: Number of Sheets Formal: 0 Informal: 46
6. Applicant Qualifies for and Claims **Small Entity Status**
7. Petition to Make Special; and
8. Assignment with Form PTO 1595 cover sheet and recordation fee; please record and return.

Very respectfully submitted,

  
Gregory J. Yu (Applicant #3)

Mailing address: Global Law Group  
1601 Bayshore Hwy., Suite 311  
Burlingame, CA 94010

EXPRESS MAIL LABEL # ET097530984US

DATE OF DEPOSIT: 09/26/2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 489

## Complete if Known

Application Number	
Filing Date	September 25, 2001
First Named Inventor	Mason K. Yu, Sr.
Examiner Name	
Group Art Unit	
Attorney Docket No.	

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
- Deposit Account Name
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)					355

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	20** = 6	9	54
4	3** = 1	40	40
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

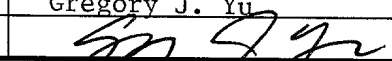
SUBTOTAL (2) (\$ 94

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$)					40

## SUBMITTED BY

Name (Print/Type)	Gregory J. Yu	Registration No. (Attorney/Agent)		Telephone	(888) 454-7687
Signature		Date	Sept. 25, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# **MULTIPLE DEPENDENT CLAIM FEE CALCULATION SHEET**

(For use with Form PTO/SB/06)

Application Number

Filing Date

Sept. 25, 2001

Applicant(s) Mason K. Yu, Sr.; Mason K.  
 Yu, Jr.; Gregory J. Yu

\* May be used for additional claims or amendments

CLAIMS	AS FILED		AFTER FIRST AMENDMENT		AFTER SECOND AMENDMENT		*		*		*	
	Indep	Depend	Indep	Depend	Indep	Depend	Indep	Depend	Indep	Depend	Indep	Depend
1	X											
2		X										
3	X											
4		X										
5		X										
6		X										
7		X										
8		X										
9		X										
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Total Indep	4											
Total Depend	22											
Total Claims	26											
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